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GROUP 36

AUG 0 7 2002

Docket No. 6311-51

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Simon McGuire

August 31, 2001

Group Art Unit:

2161

Serial No:

09/943,905

Examiner:

to be determined

For:

Filed:

COMPUTER SYSTEM AND A METHOD FOR

MANAGING A FINANCIAL TRANSACTION

SUPPLEMENTAL INFORMATION DISCLOSURE ST

Commissioner for Patents Washington, D.C. 20231

Sir:

In order to comply with 37 CFR 1. 97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Receipt date: 08/02/2002

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.

Date: 725 02

Respectfull submitted,

Joseph Levi Reg. No. 41,152

Clifford Chance Rogers & Wells LLP 200 Park Avenue New York, NY 10166-0153 Telephone: (212) 878-8564 Receipt date: 08/02/2002



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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office

Serial No. 09/943,905

INFORMATION DISCLOSURE STATEMENT

Inventors: Simon McGuire

(Use several sheets if necessary)

Docket No. 6311-51

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
						SECENTED.
						0.7.2002
						AUG 0 1 2000
						ROUP 30

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.			
	Abstract for DE 196 49 380 dated November 29, 1996			

EXAMINER	/Clement Graham/	DATE CONSIDERED 12/07/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 [6-4]

NYA 550682.1